

APPLICATION FOR EXEMPTION FROM AUDIT

SHORT FORM

NAME OF GOVERNMENT
ADDRESS

Arkansas Valley Ambulance District
Nicole Lewis
719-488-3770
nlewis@avad.care

For the Year Ended
12/31/18
or fiscal year ended:

CONTACT PERSON
PHONE
EMAIL
FAX

PART 1 - CERTIFICATION OF PREPARER

I certify that I am skilled in governmental accounting and that the information in the application is complete and accurate, to the best of my knowledge.

NAME:
TITLE
FIRM NAME (if applicable)
ADDRESS
PHONE

Nicole Lewis
AVAD Board Chair
9825 US Hwy 50, Howard, CO
719-488-3770

(Must be prepared prior to Board)

PREPARER (SIGNATURE REQUIRED)

Nicole Lewis

Please indicate whether the following financial information is recorded using Governmental or Proprietary fund types

GOVERNMENTAL
(MODIFIED ACCRUAL BASIS)

PROPRIETARY
(CASH OR BUDGETARY BASIS)

PART 2 - REVENUE

REVENUE: All revenues for all funds must be reflected in this section, including proceeds from the sale of the government's land, building, and equipment, and proceeds from debt or lease transactions. Financial information will not include fund equity information.

Line#	Description	Round to nearest Dollar	Please use this space to provide any necessary explanations
2-1	Taxes: Property (report mills levied in Question 10-6)	\$- 0	
2-2	Specific ownership	\$- 0	
2-3	Sales and use	\$- 0	
2-4	Other (specify):	\$- 0	
2-5	Licenses and permits	\$- 0	
2-6	Intergovernmental: Grants	\$- 0	
2-7	Conservation Trust Funds (Lottery)	\$- 0	
2-8	Highway Users Tax Funds (HUTF)	\$- 0	
2-9	Other (specify):	\$- 0	
2-10	Charges for services	\$- 0	
2-11	Fines and forfeits	\$- 0	
2-12	Special assessments	\$- 0	
2-13	Investment income	\$- 0	
2-14	Charges for utility services	\$- 0	
2-15	Debt proceeds (should agree with line 4-4, column 2)	\$- 0	
2-16	Lease proceeds	\$- 0	
2-17	Developer Advances received (should agree with line 4-4)	\$- 0	
2-18	Proceeds from sale of capital assets	\$- 0	
2-19	Fire and police pension	\$- 0	
2-20	Donations	\$- 0	
2-21	Other (specify):	\$- 0	
2-22		\$- 0	
2-23		\$- 0	
2-24	(add lines 2-1 through 2-23) TOTAL REVENUE	\$-	0.00

PART 3 - EXPENDITURES

EXPENDITURES: All expenditures for all funds must be reflected in this section, including the purchase of capital assets and principal and interest payments on long-term debt. Financial information will not include fund equity information.

Line#	Description	Round to nearest Dollar	Please use this space to provide any necessary explanations
3-1	Administrative	\$- 0	
3-2	Salaries	\$- 0	
3-3	Payroll taxes	\$- 0	
3-4	Contract services	\$- 0	
3-5	Employee benefits	\$- 0	
3-6	Insurance	\$- 0	
3-7	Accounting and legal fees	\$- 0	
3-8	Repair and maintenance	\$- 0	
3-9	Supplies	\$- 0	
3-10	Utilities and telephone	\$- 0	
3-11	Fire/Police	\$- 0	
3-12	Streets and highways	\$- 0	
3-13	Public health	\$- 0	
3-14	Culture and recreation	\$- 0	
3-15	Utility operations	\$- 0	
3-16	Capital outlay	\$- 0	
3-17	Debt service principal (should agree with Part 4)	\$- 0	
3-18	Debt service interest	\$- 0	
3-19	Repayment of Developer Advance Principal (should agree with line 4-4)	\$- 0	
3-20	Repayment of Developer Advance Interest	\$- 0	
3-21	Contribution to pension plan (should agree to line 7-2)	\$- 0	
3-22	Contribution to Fire & Police Pension Assoc. (should agree to line 7-2)	\$- 0	
3-23	Other (specify):	\$- 0	
3-24		\$- 0	
3-25		\$- 0	
3-26	(add lines 3-1 through 3-24) TOTAL EXPENDITURES	\$-	0.00

If TOTAL REVENUE (line 2-24) or TOTAL EXPENDITURES (line 3-26) are GREATER than \$100,000 - STOP. You may not use this form. Please use the "Application for Exemption from Audit - LONG FORM". If TOTAL REVENUE (Line 2-24) or TOTAL EXPENDITURES (Line 3-26) are GREATER than \$100,000 - STOP. You may not use this form. Please use the "Application for Exemption from Audit - LONG FORM".

PART 4 - DEBT OUTSTANDING, ISSUED, AND RETIRED

Please answer the following questions by marking the appropriate boxes.

4-1	Does the entity have outstanding debt? If Yes, please attach a copy of the entity's Debt Repayment Schedule.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>		
4-2	Is the debt repayment schedule attached? If no, MUST explain: <div style="border: 1px solid black; height: 20px; margin-top: 5px;"></div>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
4-3	Is the entity current in its debt service payments? If no, MUST explain: <div style="border: 1px solid black; height: 20px; margin-top: 5px;"></div>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
4-4	Please complete the following debt schedule, if applicable: (please only include principal amounts)(enter all amount as positive numbers)				
	Outstanding at end of prior year*	Issued during year	Retired during year	Outstanding at year-end	
	General obligation bonds	\$-	\$-	\$-	\$-
	Revenue bonds	\$-	\$-	\$-	\$-
	Notes/Loans	\$-	\$-	\$-	\$-
	Leases	\$-	\$-	\$-	\$-
	Developer Advances	\$-	\$-	\$-	\$-
	Other (specify):	\$-	\$-	\$-	\$-
	TOTAL	\$-	\$-	\$-	\$-

*must tie to prior year ending balance

Please answer the following questions by marking the appropriate boxes.

4-5	Does the entity have any authorized, but unissued, debt? If yes: How much?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
	Date the debt was authorized: <div style="border: 1px solid black; width: 100%; height: 20px; margin-top: 5px;"></div>		
4-6	Does the entity intend to issue debt within the next calendar year? If yes: How much?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	<div style="border: 1px solid black; width: 100%; height: 20px; margin-top: 5px;"></div>		
4-7	Does the entity have debt that has been refinanced that it is still responsible for? If yes: What is the amount outstanding?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	<div style="border: 1px solid black; width: 100%; height: 20px; margin-top: 5px;"></div>		
4-8	Does the entity have any lease agreements? If yes: What is being leased?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	What is the original date of the lease? <div style="border: 1px solid black; width: 100%; height: 20px; margin-top: 5px;"></div>		
	Number of years of lease? <div style="border: 1px solid black; width: 100%; height: 20px; margin-top: 5px;"></div>		
	Is the lease subject to annual appropriation? What are the annual lease payments?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	<div style="border: 1px solid black; width: 100%; height: 20px; margin-top: 5px;"></div>		

Please use this space to provide any explanations or comments:

PART 5 - CASH AND INVESTMENTS

Please provide the entity's cash deposit and investment balances.

		Amount	Total
5-1	YEAR-END Total of ALL Checking and Savings Accounts	\$-	
5-2	Certificates of deposit	\$-	
	Total Cash Deposits		0 \$-
	Investments (if investment is a mutual fund, please list underlying investments):		
5-3	<div style="border: 1px solid black; width: 100%; height: 20px; margin-top: 5px;"></div>	\$-	
	<div style="border: 1px solid black; width: 100%; height: 20px; margin-top: 5px;"></div>	\$-	
	<div style="border: 1px solid black; width: 100%; height: 20px; margin-top: 5px;"></div>	\$-	
	<div style="border: 1px solid black; width: 100%; height: 20px; margin-top: 5px;"></div>	\$-	
	Total Investments		0 \$-
	Total Cash and Investments		0 \$-

Please answer the following questions by marking in the appropriate boxes

5-4	Are the entity's Investments legal in accordance with Section 24-75-601, et seq., C.R.S.?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input checked="" type="checkbox"/>
5-5	Are the entity's deposits in an eligible (Public Deposit Protection Act) public depository (Section 11-10.5-101, et seq. C.R.S.)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

If no, MUST use this space to provide any explanations:

PART 6 - CAPITAL ASSETS

Please answer the following questions by marking in the appropriate boxes.

Yes No

- 6-1 Does the entity have capital assets? Yes No
- 6-2 Has the entity performed an annual inventory of capital assets in accordance with Section 29-1-506, C.R.S.,? If no, MUST explain: Yes No

6-3 Complete the following capital assets table:

	Balance - beginning of the year*	Additions (Must be included in Part 3)	Deletions	Year-End Balance
Land	\$-	\$-	\$-	0 \$-
Buildings	\$-	\$-	\$-	0 \$-
Machinery and equipment	\$-	\$-	\$-	0 \$-
Furniture and fixtures	\$-	\$-	\$-	0 \$-
Infrastructure	\$-	\$-	\$-	0 \$-
Construction In Progress (CIP)	\$-	\$-	\$-	0 \$-
Other (explain):	\$-	\$-	\$-	0 \$-
Accumulated Depreciation	\$-	\$-	\$-	0 \$-
(Please enter a negative or credit balance)	\$-	\$-	\$-	0 \$-
TOTAL	\$-	\$-	\$-	0 \$-

Please use this space to provide any explanations or comments:

PART 7 - PENSION INFORMATION

Please answer the following questions by marking in the appropriate boxes.

Yes No

- 7-1 Does the entity have an "old hire" firemen's pension plan? Yes No
- 7-2 Does the entity have a volunteer firemen's pension plan? Yes No

If yes: Who administers the plan?

Indicate the contributions from:

Tax (property, SO, sales, etc.):	\$-
State contribution amount:	\$-
Other (gifts, donations, etc.):	\$-
TOTAL	\$-
What is the monthly benefit paid for 20 years of service per retiree as of Jan 12?	\$-

Please use this space to provide any explanations or comments:

PART 8 - BUDGET INFORMATION

Please answer the following questions by marking in the appropriate boxes.

Yes No N/A

- 8-1 Did the entity file a budget with the Department of Local Affairs for the current year in accordance with Section 29-1-113 C.R.S.? Yes No N/A
-
- 8-2 Did the entity pass an appropriations resolution, in accordance with Section 29-1-108 C.R.S.? If no, MUST explain: Yes No N/A

If yes: Please indicate the amount budgeted for each fund for the year reported:

PART 9 - TAXPAYER'S BILL OF RIGHTS (TABOR)

Please answer the following question by marking in the appropriate box.

9-1

Is the entity in compliance with all the provisions of TABOR (state constitution, Article X, Section 20/511)?

Yes

No

Note: An election to exempt the government from the spending limitations of TABOR does not exempt the government from the 3 percent emergency reserve requirement. All governments should determine if they meet this requirement of TABOR.

if no, MUST explain:

PART 10 - GENERAL INFORMATION

Please answer the following questions by marking in the appropriate boxes.

Yes

No

10-1 Is this application for a newly formed governmental entity?

If yes: Date of formation:

12/18/2018

10-2 Has the entity changed its name in the past or current year?

If yes: Please list the NEW name & PRIOR name:

10-3 Is the entity a metropolitan district?

Please indicate what services the entity provides:

10-4 Does the entity have an agreement with another government to provide services?

If yes: List the name of the other governmental entity and the services provided:

10-5 Has the district filed a Title 32, Article 1 Special District Notice of Inactive Status during the

If yes: Date Filed:

10-6 Does the entity have a certified Mill Levy?

If yes: Please provide the following mills levied for the year reported (do not report \$ amounts):

Bond Redemption mills

General/Other mills

Total mills

10.90

Please use this space to provide any explanations or comments:

PART 11 - GOVERNING BODY APPROVAL

Please answer the following question by marking in the appropriate box

YES

NO

12-1 If you plan to submit this form electronically, have you read the new Electronic Signature Policy?

Office of the State Auditor — Local Government Division - Exemption Form Electronic Signatures Policy and Procedure

Policy - Requirements

The Office of the State Auditor Local Government Audit Division may accept an electronic submission of an application for exemption from audit that includes governing board signatures obtained through a program such as DocuSign or Echosign. Required elements and safeguards are as follows:

- The preparer of the application is responsible for obtaining board signatures that comply with the requirement in Section 29-1-604 (3), C.R.S., that states the application shall be personally reviewed, approved, and signed by a majority of the members of the governing body.
- The application must be accompanied by the signature history document created by the electronic signature software. The signature history document must show when the document was created and when the document was emailed to the various parties, and include the dates the individual board members signed the document. The signature history must also show the individuals' email addresses and IP address.
- Office of the State Auditor staff will not coordinate obtaining signatures.

The application for exemption from audit form created by our office includes a section for governing body approval. Local governing boards note their approval and submit the application through one of the following three methods:

- 1) Submit the application in hard copy via the US Mail including original signatures.
- 2) Submit the application electronically via email and either,
 - a. Include a copy of an adopted resolution that documents formal approval by the Board, or
 - b. Include electronic signatures obtained through a software program such as DocuSign or Echosign in accordance with the requirements noted above.

Arkansas Valley Ambulance District

Resolution #2019-07

March 18, 2019

1. This Resolution is adopted approving an exemption from audit for the fiscal yer2018 for the Arkansas Valley Ambulance District, State of Colorado.

WHEREAS Arkansas Valley Ambulance District wishes to claim exemption from the audit requirements of Section 29-1-603 C.R.S. And\

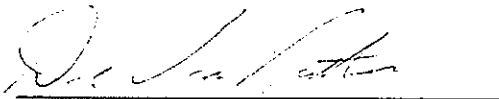

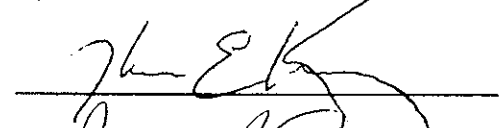
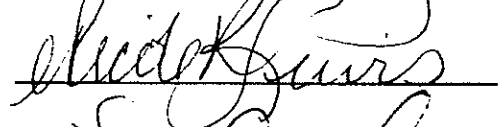
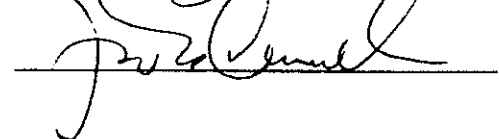
WHEREAS Section 29-1-603 C.R.S states any local government where neither revenue nor expenditures exceed seven hundred and fifty thousand dollars may, with the approval of the State Auditor, be exempt from the provisions of Section 29-1-603, C.R.S. And

WHEREAS neither revenue nor expenditures for Arkansas Valley Ambulance District exceeded \$100,000.00 for Fiscal Year 2018.

WHEREAS, an application for exemption from audit for Arkansas Valley Ambulance District has been prepared by Nicole Lewis, Arkansas Valley Ambulance District Board Chair.

Now therefore be it resolved that this Resolution is hereby approved, and signified by signing below, by a majority vote of the Board of Directors of the Arkansas Valley Ambulance District; this resolution will be attached to and become part of the application for exemption from Audit or the Arkansas Valley Ambulance District for the Fiscal year ended 12/31/2018.

Adopted March 18, 019

	David Van Nattan	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	Andra Dolton	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	Thomas Kainz	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	Nicole Lewis	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	Jordan Clewell	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No